

**CORPORATE GOVERNANCE REPORT  
2025**

To: - State Securities Commission of Vietnam  
- Hanoi Stock Exchange.

**Company Name:** DAKLAK WATER SUPPLY JOINT STOCK COMPANY

**Head office Address:** No. 339 Ton Duc Thang Street, Tan An Ward, Dak Lak Province

**Telephone:** 0262 385 2619

**Email:** [phongtochucdakwaco@gmail.com](mailto:phongtochucdakwaco@gmail.com)

**Registered Capital:** VND 315,200,000,000 (*Three hundred fifteen billion two hundred million Vietnamese dong*)

**Stock Code:** DWC

**Corporate Governance Model:** General Meeting of Shareholders, Board of Directors, Supervisory Board and General Director.

**Implementation of Internal Audit Function:** Implemented

**I. Activities of the General Meeting of Shareholders**

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders (including Resolutions of the General Meeting of Shareholders adopted by written consultation of shareholders):

| No. | Resolution/Decision No. | Date       | Content   |
|-----|-------------------------|------------|---|
| 1   | 01/2025/NQ-DHDCD        | 09/04/2025 | Resolution of the 2025 Annual General Meeting of Shareholders of Daklak Water Supply Joint Stock Company.                                     |
| 2   | 02/2025/NQ-DHDCD        | 12/11/2025 | Resolution of the General Meeting of Shareholders of Daklak Water Supply Joint Stock Company adopted by written consultation of shareholders. |

## II. Board of Directors

### 1. Information on members of the Board of Directors (BOD)

| No. | BOD Member           | Position                             | Start/End Date as Member of the Board of Directors |                   |
|-----|----------------------|--------------------------------------|--|-------------------|
|     |                      |                                      | Date of Appointment                                | Date of Dismissal |
| 1   | Mr. Do Hoang Phuc    | Chairperson of the BOD               | 24/06/2019   |                   |
| 2   | Mr. Do Hoang Phuong  | Deputy Chairperson of the BOD        | 18/02/2022   |                   |
| 3   | Ms.Pham Thi Linh     | BOD Member                           | 18/02/2022   |                   |
| 4   | Mr. Nguyen Khac Dan  | BOD Member                           | 24/06/2019   |                   |
| 5   | Mr. Nguyen Cong Dinh | BOD Member – Deputy General Director | 24/06/2019   |                   |

### 2. Meetings of the Board of Directors:

| No. | BOD Member           | Number of Meetings Attended | Attendance Rate | Reason for Absence |
|-----|----------------------|-----------------------------|-----------------|--------------------|
| 1   | Mr. Do Hoang Phuc    | 23/23                       | 100%            |                    |
| 2   | Mr. Do Hoang Phuong  | 23/23                       | 100%            |                    |
| 3   | Ms.Pham Thi Linh     | 23/23                       | 100%            |                    |
| 4   | Mr. Nguyen Khac Dan  | 23/23                       | 100%            |                    |
| 5   | Mr. Nguyen Cong Dinh | 23/23                       | 100%            |                    |

### 3. Supervisory activities of the Board of Directors over the Board of General Directors

The Board of Directors regularly supervises the management and executive activities, and directs the organization of production and business operations of the Board of General Directors in order to ensure the timely implementation of business plans.

### 4. Activities of Subcommittees under the Board of Directors: None

### 5. Resolutions/Decisions of the Board of Directors

| No. | Resolution/Decision No. | Date | Content |
|-----|-------------------------|------|---------|
|     |                         |      |         |

|    |                   |            |   |
|----|-------------------|------------|---|
| 1  | 01/2025/NQ – HDQT | 08/01/2025 | Resolution on approving the report on the results of evaluation and classification of departments and branches in production and business activities in 2024, and approving rewards for collectives and individuals for 2024  |
| 2  | 02/2025/NQ-HĐQT   | 15/01/2025 | Resolution on approving the personnel plan and the bonus level for Lunar New Year (Year of the Wood Snake) 2025.  |
| 3  | 03/2025/NQ-HĐQT   | 15/01/2025 | Resolution on approving the production and business results for 2025 and assigning performance targets to subordinate branches  |
| 4  | 04/2025/NQ-HĐQT   | 17/02/2025 | Resolution on approving the plan for organizing the 2025 Annual General Meeting of Shareholders.  |
| 5  | 05/2025/NQ-HĐQT   | 19/02/2025 | Resolution on approving the dismissal from the position of General Director of the Company  |
| 6  | 06/2025/NQ-HĐQT   | 19/02/2025 | Resolution on approving the new appointment to the position of General Director of the Company  |
| 7  | 07/2025/NQ-HĐQT   | 07/03/2025 | Resolution on approving recommendations on handling violations identified by the Inspection Team in relation to water supply projects in Ea Kar District, Krong Nang District and Buon Don District under Package DLCWW-05.   |
| 8  | 08/2025/NQ-HĐQT   | 17/03/2025 | Resolution on approving the contents of documents for the 2025 Annual General Meeting of Shareholders   |
| 9  | 09/2025/NQ-HĐQT   | 14/04/2025 | Resolution on approving the remuneration levels for each member of the Board of Directors for 2025  |
| 10 | 10/2025/NQ-HĐQT   | 22/04/2025 | Resolution on promulgating the Articles of Association on Organization and Operation of the Company   |
| 11 | 11/2025/NQ-HĐQT   | 07/05/2025 | Resolution on approving the regulations on piece-rate salary and bonus  |
| 12 | 12/2025/NQ-HĐQT   | 26/05/2025 | Resolution on approving the contents of the report and recommendations of the Inspection Team regarding the construction of the 35,000 m <sup>3</sup> /day water treatment plant and clean water transmission pipeline to the booster pumping station under Package DLCW-02 |
| 13 | 13/2025/NQ-HĐQT   | 26/05/2025 | Resolution on approving the contents of the report and recommendations of the Inspection Team regarding the construction of the booster pumping station and clean water transmission pipeline to the distribution network under Package DLCW-03                             |
| 14 | 14/2025/NQ-HĐQT   | 27/05/2025 | Resolution on approving the production and business results for Q1/2025 and the production and business plan for Q2/2025  |
| 15 | 15/2025/NQ-HĐQT   | 02/06/2025 | Resolution on approving the contents of the report and recommendations of the Inspection Team regarding the construction of the raw water intake works and raw water transmission pipeline of   |

|    |                 |            |  |
|----|-----------------|------------|--|
|    |                 |            | 35,000 m <sup>3</sup> /day under Package DLCW-01   |
| 16 | 16/2025/NQ-HĐQT | 24/6/2025  | Resolution on approving the contents of the report and recommendations of the Inspection Team regarding construction works under Packages DLCW-04, DLCW-06 and DLCW-07                             |
| 17 | 17/2025/NQ-HĐQT | 25/06/2025 | Resolution on approving the Regulations on Organization and Operation of the Company and the plan for merger of subordinate units  |
| 18 | 18/2025/NQ-HĐQT | 17/07/2025 | Resolution on approving certain matters relating to administrative affairs, production and business activities, and reward levels for collectives and individuals for the first six months of 2025 |
| 19 | 19/2025/NQ-HĐQT | 16/10/2025 | Resolution on approving the written consultation of shareholders (regarding dividend distribution)   |
| 20 | 20/2025/NQ-HĐQT | 01/11/2025 | Resolution on approving the provision of support and appreciation for Mr. Nguyen Khac Dan – Member of the Board of Directors upon retirement   |
| 21 | 21/2025/NQ-HĐQT | 17/11/2025 | Resolution on implementing cash dividend distribution from profit after corporate income tax for the year 2024   |
| 22 | 22/2025/NQ-HĐQT | 25/11/2025 | Resolution on approving the implementation of legal serDeputys to protect the lawful rights and interests of the Company in a contractual dispute with Buon Ma Thuot Water Supply Company Limited  |
| 23 | 23/2025/NQ-HĐQT | 30/10/2025 | Resolution on approving the production and business results for Q3/2025 and the production and business plan for Q4/2025   |
| 24 | 24/2025/NQ-HĐQT | 29/11/2025 | Resolution on approving the plan for written consultation of shareholders for dismissal and supplementary election of members of the Board of Directors for the 2024–2028 term                     |
| 25 | 25/2025/NQ-HĐQT | 25/12/2025 | Resolution on approving the list of candidates and written consultation of shareholders for dismissal and supplementary election of members of the Board of Directors for the 2024–2028 term       |

### III. Supervisory Board

#### 1. 1. Information on members of the Supervisory Board (SB)

| No. | Supervisory Board Member | Position                      | Start/End date as member of the Supervisory Board | Qualification   |
|-----|--------------------------|-------------------------------|---|---|
| 1   | Ms. Le Dang Uyen Dan     | Head of the Supervisory Board | Start Date: 18/02/2022                            | Bachelor of Laws  |
| 2   | Ms. Ha Thi Thu Huyen     | Supervisor                    | Start Date: 18/02/2022                            | Bachelor of Business Administration   |
| 3   | Mr. Le Ban Khanh         | Supervisor                    | Start Date: 24/04/2024                            | Water Supply and Drainage Engineer; Bachelor of Business Administration; Bachelor of Laws |

## **2. Meetings of the Supervisory Board**

| No. | SB Member            | Number of Meetings Attended | Attendance Rate | Voting Rate | Reason for Absence |
|-----|----------------------|-----------------------------|-----------------|-------------|--------------------|
| 1   | Ms. Le Dang Uyen Dan | 2/2                         | 100%            | 100%        |                    |
| 2   | Ms. Ha Thi Thu Huyen | 2/2                         | 100%            | 100%        |                    |
| 3   | Mr. Le Ban Khanh     | 2/2                         | 100%            | 100%        |                    |

## **3. Supervisory activities of the Supervisory Board over the Board of Directors, the Board of General Directors and shareholders:**

- Supervising the activities of the Board of Directors and the Board of General Directors in organizing and implementing the Resolutions of the General Meeting of Shareholders.
- Supervising investment activities and reviewing business reports, quarterly and annual financial statements.
- Acting on behalf of shareholders to inspect and supervise the implementation of the Company's Articles of Association and issues relating to corporate governance and management of the Board of Directors and the Board of General Directors during the Company's production and business operations.
- Inspecting compliance with financial regimes and policies, capital and asset management regimes, and other legal regulations that the Company is required to comply with.
- Inspecting compliance with regulations and rules promulgated by the Board of Directors and the General Director.

## **4. Coordination between the Supervisory Board and the Board of Directors, the Board of General Directors and other management officers**

- The Supervisory Board worked closely with the Board of Directors and Board of General Directors in overseeing the implementation of Resolutions passed by the General Meeting of Shareholders ("GMS") and the Board of Directors.
- Supervisors participated in BOD meetings, thereby enabling timely tracking of Company activities and the execution of resolutions passed by the GMS.
- The Supervisory Board maintained independence in its supervisory operations. During the year, no shareholder petitions were received regarding the direction and management of the Board of Directors, Supervisory Board, Executive Board, or other managers of the Company.

## **5. Other activities of the Supervisory Board:**

Conducting inspections upon request of shareholders or groups of shareholders.

#### IV. Executive Board

| No. | Member of the Executive Board                          | Date of Birth | Qualification                         | Date of Appointment/ Dismissal                                      |
|-----|--|---------------|---------------------------------------|---|
| 1   | Mr. Nguyen Khac Dan – Member of the Board of Directors | 10/08/1964    | Bachelor in Water Supply and Drainage | Date of dismissal from the position of General Director: 15/03/2025 |
| 2   | Ms. Hoang Thi Thu Ha – General Director                | 23/08/1987    | Master of Economics                   | Date of appointment to the position of General Director: 15/03/2025 |
| 3   | Mr. Nguyen Cong Dinh – Deputy General Director         | 21/03/1971    | Bachelor of Laws                      | 26/07/2019  |
| 4   | Mr. Nguyen Hung – Deputy General Director              | 16/02/1978    | Bachelor of Business Administration   | 24/06/2019  |

#### V. Chief Accountant

| Full Name            | Date of Birth | Qualification         | Date of Appointment/ Dismissal  |
|----------------------|---------------|-----------------------|---------------------------------|
| Nguyen Thi Thuy Hang | 02/10/1990    | Bachelor of Economics | Date of appointment: 19/06/2023 |

**VI. Corporate Governance Training:** During 2025, the Company did not participate in any training courses on corporate governance.

#### VII. List of related persons of the public company and transactions of related persons with the Company

##### 1. List of related persons of the Company

| No. | Name of organization/individual | Securitie s trading account (if any) | Position at the Company (if any)   | Owner's Certificate Number, Date of Issue , Place of Issue | Head Office/Contact Address | Effective Date as a Related Person | Date of Ceasing to be a Related Person | Reason | Relationship with the Company                            |
|-----|---------------------------------|--------------------------------------|------------------------------------|--|-----------------------------|------------------------------------|--|--------|--|
| I   | Do Hoang Phuc                   |                                      | Chairpers on of Board of Directors |  |                             | 24/06/2019                         |  |        | Legal representative/ Chairpers on of Board of Directors |

| No. | Name of organization/individual | Securitie s trading account (if any) | Position at the Company (if any)         | Owner's Certificate Number, Date of Issue , Place of Issue | Head Office/Contact Address | Effective Date as a Related Person | Date of Ceasing to be a Related Person | Reason | Relationship with the Company |
|-----|---------------------------------|--------------------------------------|--|--|-----------------------------|------------------------------------|--|--------|-------------------------------|
| 1   | Pham Thi Linh                   |                                      | Member of Board of Directors             |  |                             | 24/06/2019                         |  |        | Wife                          |
| 2   | Do Hoang Phuong                 |                                      | Deputy-Chairperson of Board of Directors |  |                             | 24/06/2019                         |  |        | Son                           |
| 3   | Tran Thi Hai Ly                 |                                      | No                                       |  |                             | 24/06/2019                         |  |        | Daughter-in-law               |
| 4   | Do Linh Nham                    |                                      | No                                       |  |                             | 24/06/2019                         |  |        | Daughter                      |
| 5   | Dinh Thanh Tung                 |                                      | No                                       |  |                             | 24/06/2019                         |  |        | Son-in-law                    |
| 6   | Do Van Hanh                     |                                      | No                                       |  |                             | 24/06/2019                         |  |        | Older brother                 |
| 7   | Le Thi Thuy Dung                |                                      | No                                       |  |                             | 24/06/2019                         |  |        | Older sister-in-law           |
| 8   | Do Duy Tu                       |                                      | No                                       |  |                             | 24/06/2019                         |  |        | Younger brother               |
| 9   | Duong Thi Phuong                |                                      | No                                       |  |                             | 24/06/2019                         |  |        | Younger sister-in-law         |
| 10  | Do Van Tu                       |                                      | No                                       |  |                             | 24/06/2019                         |  |        | Younger brother               |
| 11  | Nguyen Thi Doan                 |                                      | No                                       |  |                             | 24/06/2019                         |  |        | Younger sister-in-law         |
| 12  | Do Van Nam                      |                                      | No                                       |  |                             | 24/06/2019                         |  |        | Younger brother               |

| No. | Name of organization/individual                                | Securitie<br>s trading<br>account<br>(if any) | Position at<br>the<br>Company (if<br>any) | Owner's Certificate Number,<br>Date of Issue , Place of Issue | Head Office/Contact Address | Effective Date<br>as a Related<br>Person | Date<br>of<br>Ceasin<br>g to be<br>a<br>Relate<br>d<br>Person | Reason | Relationship<br>with the<br>Company   |
|-----|--|---|---|---|-----------------------------|--|---|--------|---|
| 13  | Tran Thi Cay   |   | No  |   |                             | 24/06/2019                               |   |        | Younger<br>sister-in-<br>law  |
| 14  | Global Food Import Export<br>Business Joint Stock<br>Company   |   |   |   |                             |  |   |        | Mr. Do<br>Hoang<br>Phuc as<br>Chairperso<br>n of the<br>Board of<br>Directors |
| 15  | Nam Phuong IMEX Import<br>and Export Joint Stock<br>Company    |   |   |   |                             |  |   |        | Mr. Do<br>Hoang<br>Phuc as<br>Director  |
| 16  | Quang Ninh Construction<br>and Cement Joint - Stock<br>Company |   |   |   |                             |  |   |        | Mr. Do<br>Hoang<br>Phuc as<br>Chairperso<br>n of the<br>Board of<br>Directors |
| 17  | Cai Lan Industrial Park<br>Joint Stock Company –<br>QNC        |   |   |   |                             |  |   |        | Mr. Do<br>Hoang<br>Phuc as<br>Chairperso<br>n of the<br>Board of<br>Directors |
| 18  | Thang Loi Coffee Joint<br>Stock Company                        |   |   |   |                             |  |   |        | Mr. Do<br>Hoang<br>Phuc as<br>Chairperso                                      |

| No. | Name of organization/individual                     | Securitie s trading account (if any) | Position at the Company (if any)                 | Owner's Certificate Number, Date of Issue , Place of Issue | Head Office/Contact Address | Effective Date as a Related Person | Date of Ceasing to be a Related Person | Reason | Relationship with the Company                      |
|-----|---|--------------------------------------|--|--|-----------------------------|------------------------------------|--|--------|--|
|     |   |                                      |  |  |                             |                                    |  |        | n of the Board of Directors                        |
| 19  | Vietnam Livestock Corporation - Joint Stock Company |                                      |  |  |                             |                                    |  |        | Ông Đỗ Hoàng Phúc làm Member of Board of Directors |
| II  | <b>Do Hoang Phuong</b>                              |                                      | <b>Deputy-Chairpers on of Board of Directors</b> |  |                             | 24/06/2019                         |  |        | <b>Deputy-Chairpers on of Board of Directors</b>   |
| 1   | Do Hoang Phuc                                       |                                      | Chairperso n of Board of Directors               |  |                             | 24/06/2019                         |  |        | Father   |
| 2   | Pham Thi Linh                                       |                                      | Member of Board of Directors                     |  |                             | 24/06/2019                         |  |        | Mother   |
| 3   | Tran Van Kiem                                       |                                      | No   |  |                             | 18/02/2022                         |  |        | Father-in-law                                      |
| 4   | Nguyen Thi Ngan Thanh                               |                                      | No   |  |                             | 18/02/2022                         |  |        | Mother-in-law                                      |
| 5   | Tran Thi Hai Ly                                     |                                      | No   |  |                             | 18/02/2022                         |  |        | Wife   |
| 6   | Do Phuong Nhi                                       |                                      | No   |  |                             | 18/02/2022                         |  |        | Daughter   |
| 7   | Do Hoang Minh Hai                                   |                                      | No   |  |                             | 18/02/2022                         |  |        | Daughter   |
| 8   | Do Hoang Minh Anh                                   |                                      | No   |  |                             | 18/02/2022                         |  |        | Daughter   |

| No.        | Name of organization/individual                              | Securitie<br>s trading<br>account<br>(if any) | Position at<br>the<br>Company (if<br>any)       | Owner's Certificate Number,<br>Date of Issue , Place of Issue | Head Office/Contact Address | Effective Date<br>as a Related<br>Person | Date<br>of<br>Ceasin<br>g to be<br>a<br>Relate<br>d<br>Person | Reason | Relationship<br>with the<br>Company                                |
|------------|--|---|---|---|-----------------------------|--|---|--------|--|
| 9          | Do Linh Nham   |   | No  |   |                             | 18/02/2022                               |   |        | Younger<br>sister  |
| 10         | Dinh Thanh Tung  |   | No  |   |                             | 18/02/2022                               |   |        | Younger<br>brother-in-<br>law                                      |
| 11         | Thang Loi Coffee Joint Stock<br>Company                      |   |   |   |                             |  |   |        | Mr. Do<br>Hoang<br>Phuong as<br>Member of<br>Board of<br>Directors |
| 12         | Nam Phuong Investment and<br>Trading Company Limited         |   |   |   |                             |  |   |        | Mr. Do<br>Hoang<br>Phuong as<br>Deputy-<br>Director                |
| 13         | Global Food Import Export<br>Business Joint Stock<br>Company |   |   |   |                             |  |   |        | Mr. Do<br>Hoang<br>Phuong as<br>Director                           |
| <b>III</b> | <b>Pham Thi Linh</b>   |   | <b>Member<br/>of Board<br/>of<br/>Directors</b> |   |                             | 24/06/2019                               |   |        | <b>Member<br/>of Board<br/>of<br/>Directors</b>                    |
| 1          | Do Hoang Phuc  |   | Chairperso<br>n of Board<br>of<br>Directors     |   |                             | 24/06/2019                               |   |        | Husband  |
| 2          | Do Hoang Phuong  |   | Deputy-<br>Chairperso<br>n of Board             |   |                             | 24/06/2019                               |   |        | Son  |

| No. | Name of organization/individual                      | Securitie<br>s trading<br>account<br>(if any) | Position at<br>the<br>Company (if<br>any) | Owner's Certificate Number,<br>Date of Issue , Place of Issue | Head Office/Contact Address | Effective Date<br>as a Related<br>Person | Date<br>of<br>Ceasin<br>g to be<br>a<br>Relate<br>d<br>Person | Reason | Relationship<br>with the<br>Company                                 |
|-----|--|---|---|---|-----------------------------|--|---|--------|---|
|     |  |   | of<br>Directors                           |   |                             |  |   |        |   |
| 3   | Do Linh Nham   |   | No  |   |                             | 18/02/2022                               |   |        | Daughter  |
| 4   | Dinh Thanh Tung                                      |   | No  |   |                             | 18/02/2022                               |   |        | Son-in-law  |
| 5   | Mai Thi Luot   |   | No  |   |                             | 18/02/2022                               |   |        | Mother  |
| 6   | Nguyen Thi Luu                                       |   | No  |   |                             | 18/02/2022                               |   |        | Older<br>sister-in-<br>law  |
| 7   | Pham Thi Lua   |   | No  |   |                             | 18/02/2022                               |   |        | Older<br>sister   |
| 8   | Pham Minh Cuong                                      |   | No  |   |                             | 18/02/2022                               |   |        | Older<br>brother-in-<br>law   |
| 9   | Pham Thi Linh  |   | No  |   |                             | 18/02/2022                               |   |        | Older<br>sister   |
| 10  | Dang Ngoc Duc  |   | No  |   |                             | 18/02/2022                               |   |        | Older<br>brother-in-<br>law   |
| 11  | Nam Phuong Investment and<br>Trading Company Limited |   |   |   |                             |  |   |        | Ms. Pham<br>Thi Linh<br>as Director                                 |
| 12  | Thang Loi Coffee Joint Stock<br>Company              |   |   |   |                             |  |   |        | Ms. Pham<br>Thi Linh<br>as Member<br>of<br>Board<br>of<br>Directors |
| IV  | <b>Nguyen Khac Dan</b>                               |   | <b>Member<br/>of Board</b>                |   |                             | 24/06/2019                               |   |        | <b>Member<br/>of Board</b>  |

| No. | Name of organization/individual | Securitie<br>s trading<br>account<br>(if any) | Position at<br>the<br>Company (if<br>any) | Owner's Certificate Number,<br>Date of Issue , Place of Issue | Head Office/Contact Address | Effective Date<br>as a Related<br>Person | Date<br>of<br>Ceasin<br>g to be<br>a<br>Relate<br>d<br>Person | Reason | Relationship<br>with the<br>Company |
|-----|---------------------------------|---|---|---|-----------------------------|--|---|--------|-------------------------------------|
|     |                                 |   | of<br>Directors                           |   |                             |  |   |        | of<br>Directors                     |
| 1   | Vo Thi Ngoc Mai                 |   | No  |   |                             | 24/06/2019                               |   |        | Wife                                |
| 2   | Nguyen Khac Tin                 |   | No  |   |                             | 24/06/2019                               |   |        | Son                                 |
| 3   | Nguyen Vo Thuy Tram             |   | Employee                                  |   |                             | 24/06/2019                               |   |        | Daughter                            |
| 4   | Pham Thi Sach                   |   | No  |   |                             | 24/06/2019                               |   |        | Adoptive<br>mother                  |
| 5   | Nguyen Khac Thuong              |   | No  |   |                             | 24/06/2019                               |   |        | Older<br>brother                    |
| 6   | Nguyen Khac Nam                 |   | No  |   |                             | 24/06/2019                               |   |        | Older<br>brother                    |
| 7   | Nguyen Khac Muoi                |   | No  |   |                             | 24/06/2019                               |   |        | Younger<br>brother                  |
| 8   | Nguyen Khac Du                  |   | No  |   |                             | 24/06/2019                               |   |        | Younger<br>brother                  |
| 9   | Nguyen Thi My Dung              |   | No  |   |                             | 24/06/2019                               |   |        | Younger<br>sister                   |
| 10  | Trinh Phung Xuan                |   | No  |   |                             | 24/06/2019                               |   |        | Older<br>brother-in-<br>law         |
| 11  | Nguyen Huu Tam                  |   | No  |   |                             | 24/06/2019                               |   |        | Younger<br>brother-in-<br>law       |
| 12  | Vo Thi Ngoc Tuyet               |   | No  |   |                             | 24/06/2019                               |   |        | Older<br>sister-in-<br>law          |
| 13  | Truong Thi Le Lieu              |   | No  |   |                             | 24/06/2019                               |   |        | Older<br>sister-in-                 |

| No. | Name of organization/individual         | Securitie<br>s trading<br>account<br>(if any) | Position at<br>the<br>Company (if<br>any) | Owner's Certificate Number,<br>Date of Issue , Place of Issue | Head Office/Contact Address | Effective Date<br>as a Related<br>Person | Date<br>of<br>Ceasin<br>g to be<br>a<br>Relate<br>d<br>Person | Reason | Relationship<br>with the<br>Company                               |
|-----|---|---|---|---|-----------------------------|--|---|--------|---|
|     |   |   |   |   |                             |  |   |        | law   |
| 14  | Nguyen Thi Thu Hang                     |   | No  |   |                             | 24/06/2019                               |   |        | Younger<br>sister-in-<br>law                                      |
| 15  | Nguyen Thi Canh                         |   | No  |   |                             | 24/06/2019                               |   |        | Younger<br>sister-in-<br>law                                      |
| V   | <b>Hoàng Thị Thu Hà</b>                 |   | <b>General<br/>Director</b>               |   |                             | 24/06/2019                               |   |        | <b>Corporate<br/>Manager</b>                                      |
| 1   | Hoang Van Noi                           |   | No  |   |                             | 24/06/2019                               |   |        | Father  |
| 2   | Be Thi Doan                             |   | No  |   |                             | 24/06/2019                               |   |        | Mother  |
| 3   | Nguyen Duy Ha                           |   | No  |   |                             | 24/06/2019                               |   |        | Father-in-<br>law   |
| 4   | Le Thi Thanh Huong                      |   | No  |   |                             | 24/06/2019                               |   |        | Mother-in-<br>law   |
| 5   | Nguyen Duy Tuan                         |   | No  |   |                             | 24/06/2019                               |   |        | Husband   |
| 6   | Nguyen Minh Khoa                        |   | No  |   |                             | 24/06/2019                               |   |        | Son   |
| 7   | Hoang Be Hoan                           |   | No  |   |                             | 24/06/2019                               |   |        | Older<br>brother  |
| 8   | Dang Thi Hoa                            |   | No  |   |                             | 24/06/2019                               |   |        | Older<br>sister-in-<br>law  |
| 9   | Thang Loi Coffee Joint Stock<br>Company |   |   |   |                             |  |   |        | Ms. Hoang<br>Thi Thu<br>Ha<br>Member of<br>Board of<br>Directors, |

| No. | Name of organization/individual | Securitie s trading account (if any) | Position at the Company (if any)                       | Owner's Certificate Number, Date of Issue , Place of Issue | Head Office/Contact Address | Effective Date as a Related Person | Date of Ceasing to be a Related Person | Reason | Relationship with the Company |
|-----|---------------------------------|--------------------------------------|--|--|-----------------------------|------------------------------------|--|--------|-------------------------------|
|     |                                 |                                      |  |  |                             |                                    |  |        | Deputy-General Director       |
| VI  | Nguyễn Công Định                |                                      | Member of Board of Directors – Deputy General Director |  |                             | 24/06/2019                         |  |        | CORPORATE MANAGER             |
| 1   | Le Thi Mai                      |                                      | No   |  |                             | 24/06/2019                         |  |        | Wife                          |
| 2   | Nguyen Le Duc Anh               |                                      | No   |  |                             | 24/06/2019                         |  |        | Son                           |
| 3   | Nguyen Le Duc Phat              |                                      | No   |  |                             | 24/06/2019                         |  |        | Son                           |
| 4   | Nguyen Phi Khanh                |                                      | No   |  |                             | 24/06/2019                         |  |        | Older brother                 |
| 5   | Nguyen Van Khoat                |                                      | No   |  |                             | 24/06/2019                         |  |        | Younger brother               |
| 6   | Hoang Thi Quyen                 |                                      | No   |  |                             | 24/06/2019                         |  |        | Older sister-in-law           |
| VII | Nguyen Hung                     |                                      | Deputy General Director                                |  |                             | 24/06/2019                         |  |        | CORPORATE MANAGER             |
| 1   | Tran Thi Lien                   |                                      | No   |  |                             | 24/06/2019                         |  |        | Mother                        |
| 2   | Ngo Xuan Dieu                   |                                      | No   |  |                             | 24/06/2019                         |  |        | Wife                          |
| 3   | Nguyen Thi Thuy Tien            |                                      | No   |  |                             | 24/06/2019                         |  |        | Daughter                      |

| No.  | Name of organization/individual         | Securitie<br>s trading<br>account<br>(if any) | Position at<br>the<br>Company (if<br>any) | Owner's Certificate Number,<br>Date of Issue , Place of Issue | Head Office/Contact Address | Effective Date<br>as a Related<br>Person | Date<br>of<br>Ceasin<br>g to be<br>a<br>Relate<br>d<br>Person | Reason | Relationship<br>with the<br>Company  |
|------|---|---|---|---|-----------------------------|--|---|--------|--|
| 4    | Nguyen Thi Kim Ngan                     |   | No  |   |                             | 24/06/2019                               |   |        | Daughter   |
| 5    | Nguyen Xuan Dung                        |   | No  |   |                             | 24/06/2019                               |   |        | Mother-in-<br>law  |
| 6    | Nguyen Van Thanh                        |   | No  |   |                             | 24/06/2019                               |   |        | Father-in-<br>law  |
| 7    | Nguyen Hai                              |   | No  |   |                             | 24/06/2019                               |   |        | Older<br>brother   |
| 8    | Nguyen Hoa                              |   | No  |   |                             | 24/06/2019                               |   |        | Older<br>brother   |
| 9    | Nguyen Hau                              |   | No  |   |                             | 24/06/2019                               |   |        | Older<br>brother   |
| 10   | Nguyen Thi Hien                         |   | No  |   |                             | 24/06/2019                               |   |        | Older<br>sister  |
| VIII | <b>Nguyen Thi Thuy Hang</b>             |   | <b>Chief<br/>Accounta<br/>nt</b>          |   |                             | 19/6/2023                                |   |        | <b>CORPOR<br/>ATE<br/>MANAGE<br/>R</b>                                     |
| 1    | Nguyen Ha Lam                           |   | No  |   |                             | 19/6/2023                                |   |        | Daughter   |
| 2    | Thang Loi Coffee Joint Stock<br>Company |   |   |   |                             |  |   |        | Ms.<br>Nguyen<br>Thi Thuy<br>Hang as<br>Member of<br>Supervisor<br>y Board |
| IX   | <b>Le Dang Uyen Dan</b>                 |   | <b>Head of<br/>Supervis</b>               |   |                             | 18/02/2022                               |   |        | <b>Superviso<br/>r</b>   |

| No. | Name of organization/individual | Securitie s trading account (if any) | Position at the Company (if any)   | Owner's Certificate Number, Date of Issue , Place of Issue | Head Office/Contact Address | Effective Date as a Related Person | Date of Ceasing to be a Related Person | Reason | Relationship with the Company |
|-----|---------------------------------|--------------------------------------|--|--|-----------------------------|------------------------------------|--|--------|-------------------------------|
|     |                                 |                                      | <b>ory Board, Secretary of the Board of Directors , Corporate governance officer</b> |  |                             |                                    |  |        |                               |
| 1   | Dang Thi No                     |                                      | No   |  |                             | 18/02/2022                         |  |        | Mother                        |
| 2   | Tran Anh Quang                  |                                      | No   |  |                             | 18/02/2022                         |  |        | Husband                       |
| 3   | Tran Anh Minh                   |                                      | No   |  |                             | 18/02/2022                         |  |        | Son                           |
| 4   | Tran Phuc Thinh                 |                                      | No   |  |                             | 18/02/2022                         |  |        | Son                           |
| 5   | Tran Quoc Tuan                  |                                      | No   |  |                             | 18/02/2022                         |  |        | Father-in-law                 |
| 6   | Vuong Thi Hong Nga              |                                      | No   |  |                             | 18/02/2022                         |  |        | Mother-in-law                 |
| 7   | Le Thanh Hung                   |                                      | No   |  |                             | 18/02/2022                         |  |        | Older brother                 |
| 8   | Le Quoc Dung                    |                                      | No   |  |                             | 18/02/2022                         |  |        | Older brother                 |
| 9   | Dang Ha Mi                      |                                      | No   |  |                             | 18/02/2022                         |  |        | Older sister-in-law           |
| 10  | Tran Thi Tra My                 |                                      | No   |  |                             | 18/02/2022                         |  |        | Older sister-in-              |

| No. | Name of organization/individual      | Securitie s trading account (if any) | Position at the Company (if any)                        | Owner's Certificate Number, Date of Issue , Place of Issue | Head Office/Contact Address | Effective Date as a Related Person | Date of Ceasing to be a Related Person | Reason | Relationship with the Company                              |
|-----|--------------------------------------|--------------------------------------|---|--|-----------------------------|------------------------------------|--|--------|--|
|     |                                      |                                      |   |  |                             |                                    |  |        | law  |
| 11  | Thang Loi Coffee Joint Stock Comapny |                                      |   |  |                             |                                    |  |        | Ms. Le Dang Uyen Dan is a member of the Supervisor y Board |
| X   | <b>Le Ban Khanh</b>                  |                                      | <b>Member of the Supervisory Board, Department Head</b> |  |                             | 24/04/2024                         |  |        | <b>Superviso r</b>   |
| 1   | Le Thi Tuyet Nhung                   |                                      | Employee  |  |                             | 24/04/2024                         |  |        | Wife   |
| 2   | Le Khanh Ngoc                        |                                      | No  |  |                             | 24/04/2024                         |  |        | Daughter   |
| 3   | Le Ngoc Thao                         |                                      | No  |  |                             | 24/04/2024                         |  |        | Daughter   |
| 4   | Le Ban Kinh                          |                                      | No  |  |                             | 24/04/2024                         |  |        | Father   |
| 5   | Chau Thi Du                          |                                      | No  |  |                             | 24/04/2024                         |  |        | Mother   |
| 6   | Le Van Hoang                         |                                      | No  |  |                             | 24/04/2024                         |  |        | Father-in- law   |
| 7   | Nguyen Thi Thu                       |                                      | No  |  |                             | 24/04/2024                         |  |        | Mother-in- law   |
| 8   | Le Ban Cuong                         |                                      | No  |  |                             | 24/04/2024                         |  |        | Younger brother  |
| 9   | Nguyen Thi Kieu Len                  |                                      | No  |  |                             | 24/04/2024                         |  |        | Younger sister-in- law                                     |
| 10  | Lê Bản Phương                        |                                      | No  |  |                             | 24/04/2024                         |  |        | Younger  |

| No. | Name of organization/individual | Securitie s trading account (if any) | Position at the Company (if any)                                  | Owner's Certificate Number, Date of Issue , Place of Issue | Head Office/Contact Address | Effective Date as a Related Person | Date of Ceasing to be a Related Person | Reason | Relationship with the Company |
|-----|---------------------------------|--------------------------------------|---|--|-----------------------------|------------------------------------|--|--------|-------------------------------|
|     |                                 |                                      |   |  |                             |                                    |  |        | brother                       |
| 11  | Van Hoang Yen Nhi               |                                      | No  |  |                             | 24/04/2024                         |  |        | Younger sister-in-law         |
| XI  | <b>Ha Thi Thu Huyen</b>         |                                      | <b>Member of the Supervisory Board, Deputy Head of Department</b> |  |                             | 18/02/2022                         |  |        | <b>Supervisor</b>             |
| 1   | Tran Phu Hung                   |                                      | No  |  |                             | 18/02/2022                         |  |        | Husband                       |
| 2   | Tran Nguyen Binh                |                                      | No  |  |                             | 18/02/2022                         |  |        | Son                           |
| 3   | Tran Ha Thuy An                 |                                      | No  |  |                             | 18/02/2022                         |  |        | Daughter                      |
| 4   | Ha Hoc Luyen                    |                                      | No  |  |                             | 18/02/2022                         |  |        | Older brother                 |
| 5   | Nguyen Thi Mai                  |                                      | No  |  |                             | 18/02/2022                         |  |        | Older sister-in-law           |
| 6   | Ha Thi Chau                     |                                      | No  |  |                             | 18/02/2022                         |  |        | Older sister                  |
| 7   | Huynh Trung Thong               |                                      | No  |  |                             | 18/02/2022                         |  |        | Older brother-in-law          |
| 8   | Nguyen Thi Hue                  |                                      | No  |  |                             | 18/02/2022                         |  |        | Older sister-in-law           |

| No. | Name of organization/individual | Securitie s trading account (if any) | Position at the Company (if any) | Owner's Certificate Number, Date of Issue , Place of Issue | Head Office/Contact Address | Effective Date as a Related Person | Date of Ceasing to be a Relate d Person | Reason | Relationship with the Company |
|-----|---------------------------------|--------------------------------------|----------------------------------|--|-----------------------------|------------------------------------|---|--------|-------------------------------|
| 9   | Ha Thi Linh                     |                                      | No                               |  |                             | 18/02/2022                         |   |        | Older sister                  |
| 10  | Dinh Nho Phuc                   |                                      | No                               |  |                             | 18/02/2022                         |   |        | Older brother-in- law         |
| 11  | Ha Thi Nhung                    |                                      | No                               |  |                             | 18/02/2022                         |   |        | Older sister                  |
| 12  | Huynh Thanh                     |                                      | No                               |  |                             | 18/02/2022                         |   |        | Older brother-in- law         |
| 13  | Ha Hoc Hoai                     |                                      | No                               |  |                             | 18/02/2022                         |   |        | Older brother                 |
| 14  | Vu Thi Lan                      |                                      | No                               |  |                             | 18/02/2022                         |   |        | Older sister-in- law          |
| 15  | Ha Thi Hoa                      |                                      | No                               |  |                             | 18/02/2022                         |   |        | Younger sister                |
| 16  | Phan Van Thanh                  |                                      | No                               |  |                             | 18/02/2022                         |   |        | Younger brother-in- law       |
| 17  | Nguyen Thi Nhi                  |                                      | No                               |  |                             | 18/02/2022                         |   |        | Mother-in- law                |
| 18  | Tran Thi Thu Ha                 |                                      | No                               |  |                             | 18/02/2022                         |   |        | Husband's older sister        |
| 19  | Tran Phu Dung                   |                                      | No                               |  |                             | 18/02/2022                         |   |        | Husband's younger brother     |
| 20  | Nguyen Thuy Ngoc Oanh           |                                      | No                               |  |                             | 18/02/2022                         |   |        | Younger sister-in- law        |

**2. Transactions between a company and its related parties; or between a company and major shareholders, insiders, or related parties of insiders:**

| NO. | Name of organization/individual                   | Relationship with the company  | Owner's Certificate Number, Date of issue, Place of issue   | Head Office/Contract Address   | Number of Resolutions approved by the Board of Directors | Content, quantity, and total value of the transaction  | Note |
|-----|---|--|---|--|--|--|------|
| 1   | Nam Phuong Investment and Trading Company Limited | Ms. Pham Thi Linh (Member of the Board of Directors, Wife of Mr. Do Hoang Phuc, currently Chairperson of the Board of Directors of Dak Lak Water Supply Joint Stock Company) is the owner of Nam Phuong Company.   | 2700281328 (Date of issue: 01/04/2003, Place of issue: Department of Planning and Investment of Ninh Binh Province) | Kilometer 7, Mai Son Industrial Cluster, Yen Mo, Ninh Binh                         | 18a/2022/NQ-HĐQT   | <ul style="list-style-type: none"> <li>- Contract for renting a car with license plate 35C-05799 for business purposes.</li> <li>- Contract for the purchase and sale of Kuroba-Dani bottled water products.</li> </ul>                                    |      |
| 2   | Global Food Import and Export Joint Stock Company | - Mr. Do Hoang Phuc (Chairperson of the Board of Directors of Dak Lak Water Supply Joint Stock Company) owns 50% of the total shares of Toan Cau Company as of June 20, 2016-Mr. Do Hoang Phuong (Deputy-Chairperson of Board of Directors of Dak Lak Water Supply Joint Stock Company) is the Director. |   | Kim 3 village, Phuong Son commune, Luc Ngan district, Bac Giang province           | 01/2024/NQ-ĐHĐCĐ   | <ul style="list-style-type: none"> <li>- Contract for the purchase of sweet corn products to be used as gifts for employees during the Lunar New Year 2025</li> <li>- Contract for the purchase and sale of Dani, Kuroba bottled water products</li> </ul> |      |
| 3   | Thang Loi Coffee Joint Stock Company              | - Ms. Pham Thi Linh (Member of the Board of Directors, Wife of Mr. Do Hoang Phuc, currently Chairperson of the Board of Directors of Dak Lak Water Supply Joint Stock Company) owns shares in Thang Loi Company representing 61.3589% of   |   | Km 17, National Highway 26, Hoa Dong Commune, Krong Pac District, Dak Lak Province | 01/2024/NQ-ĐHĐCĐ   | <ul style="list-style-type: none"> <li>- Contract for the purchase and sale of Dani, Kuroba bottled water products</li> </ul>  |      |

|  |  |   |  |  |  |  |  |
|--|--|---|--|--|--|--|--|
|  |  | the total shares-Mr. Do Hoang Phuc (Chairperson of Board of Directors of Dak Lak Water Supply Joint Stock Company) is the Chairperson of Board of Directors of Thang Loi Company. |  |  |  |  |  |
|--|--|---|--|--|--|--|--|

**3. Transactions between company insiders, related parties of insiders and subsidiaries, companies controlled by the company:** No

**4. Transactions between the company and other parties**

*4.1. Transactions between the company and a company where a Member of Board of Directors, a member of the Supervisory Board, or a General Director has been a founding member or a Member of Board of Directors or General Director in the last three (03) years (calculated at the time of reporting): Transactions as declared in section VII.2*

*4.2. Transactions between the company and a company where a related party of a Member of Board of Directors, a member of the Supervisory Board, or a General Director is a Member of Board of Directors or General Director: Transactions as declared in section VII.2*

*4.3. Other company transactions (if any) that may provide material or non-material benefits to Members of Board of Directors, Supervisory Board members, and General Director: No*

**VIII. Insider and related party stock transactions**

**1. List of insiders and related parties of insiders:** As per the list of related parties of the company declared in Section VII above.

**2. Insider and related party transactions involving listed company shares:** No

**IX. Other matters to note:** No.

**Attn:**

- As above;
- Board of Directors, Supervisory Board;
- Filing: Secretary, Administrative Organization

**DAK LAK WATER SUPPLY JOINT STOCK COMPANY**



**Đỗ Hoàng Phúc**